

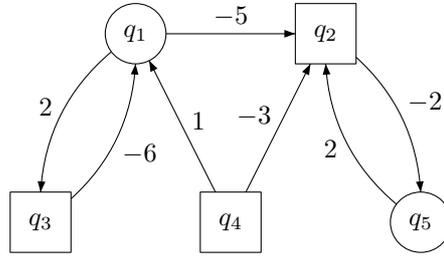
**3.1. Definition.** An *energy game*  $G$  consists of a game graph  $\langle Q, E \rangle$  (with  $E \subseteq Q \times Q$ ) and a *weight function*  $w : E \rightarrow \mathbb{Z}$ . The *energy level* of a play  $\rho = q_0 q_1 q_2 \dots$  at position  $k \geq 0$  is  $\text{EL}(\rho, k) = \sum_{i=0}^{k-1} w(q_i, q_{i+1})$ .

A strategy  $\sigma_1$  for player 1 is winning from state  $q$  with initial credit  $c_0$  for the *energy* objective if for all strategies  $\sigma_2$  the play  $\rho$  produced from  $q$  by  $\sigma_1, \sigma_2$  is such that  $c_0 + \text{EL}(\rho, k) \geq 0$  for all  $k \geq 0$ .

The *fixed initial credit problem* asks to decide, given an energy game, an initial state  $q$  and initial credit  $c_0$ , whether there exists a winning strategy for player 1 for the energy objective.

The *unknown initial credit problem* asks to decide, given an energy game and an initial state  $q$  whether there exists an initial credit and a winning strategy for player 1 for the energy objective.

**3.2. Example.** Player 1 (round states) has a winning strategy in the following energy game, with initial credit 7 in  $q_1$ , 2 in  $q_2$ , 13 in  $q_3$ , 6 in  $q_4$ , and 0 in  $q_5$ .



**3.3. Memoryless strategies.**

We will solve the fixed initial credit problem and the unknown initial credit problem by computing a function  $c_0 : Q \rightarrow \mathbb{N} \cup \{\infty\}$  that gives the *minimum initial credit* necessary to win from each state of the game. The special value  $\infty$  is assigned to losing states.

The function  $c_0$  satisfies the following conditions:

- in all player-1 states  $q \in Q_1$ , we have  $c_0(q) + w(q, q') \geq c_0(q')$  for some  $q'$  such that  $(q, q') \in E$ ;
- in all player-2 states  $q \in Q_2$ , we have  $c_0(q) + w(q, q') \geq c_0(q')$  for all  $q'$  such that  $(q, q') \in E$ .

A memoryless winning strategy  $\sigma_1$  for player 1 is defined as follows. For all  $q \in Q_1$  with  $c_0(q) \neq \infty$ , let  $\sigma_1(q) = q'$  where  $(q, q') \in E$  and  $c_0(q) + w(q, q') \geq c_0(q')$ . The strategy  $\sigma_1$  is winning for player 1 from all states that have a finite minimum initial credit (why?). Note that for all strategies  $\sigma_2$  for player 2, the play  $\rho = q_0 q_1 q_2 \dots$  produced by  $\sigma_1, \sigma_2$  is such that  $c_0(q_0) + \text{EL}(\rho, k) \geq c_0(q_k)$  for all  $k \geq 0$ . It follows that once the strategy  $\sigma_1$  is fixed in  $G$ , all cycles in the resulting graph have nonnegative weight.

We show that memoryless winning strategy exists for player 2 from all states with infinite minimum initial credit. The proof is by induction on the number  $m$  of player-2 states with more than one outgoing edge. The case  $m = 0$  is trivial. For  $m > 0$ , let  $q \in Q_2$  be a state with infinite minimum initial credit ( $c_0(q) = \infty$ ), and with outgoing edges<sup>1</sup>  $(q, q_l) \in E$  and  $(q, q_r) \in E$ . Let  $G_l$  and  $G_r$  be the energy games obtained from  $G$  by deleting the edge  $(q, q_r)$  and  $(q, q_l)$  respectively, and let  $c_l$  and  $c_r$  be the corresponding minimum initial credit functions. If  $c_l(q) = \infty$  or  $c_r(q) = \infty$ , then the result follows from the induction hypothesis. Otherwise,  $c_l(q) \neq \infty$  and  $c_r(q) \neq \infty$ . Then, from winning strategies  $\sigma_l$  and  $\sigma_r$  for player 1 in  $G_l$

<sup>1</sup>W.l.o.g. we assume that every state has at most two outgoing edges.

and  $G_r$ , we construct a winning strategy  $\sigma$  for player 1 in  $G$  with initial credit  $\max(c_l(q), c_r(q))$ , showing that this case is impossible since  $c_0(q) = \infty$ . Assume that  $\max(c_l(q), c_r(q)) = c_l(q)$  (the other case is symmetric). The strategy  $\sigma$  plays according to  $\sigma_l$  as long as the play does not visit  $q$ . On each visit to  $q$ , if player 2 uses the edge  $(q, q_r)$ , then player 1 suspends  $\sigma_l$  and switches to the strategy  $\sigma_r$ . When player 2 uses the edge  $(q, q_l)$  again, player 1 resumes playing  $\sigma_l$ .

In other words, if  $q$  is visited in the current play prefix  $\rho$ , and player 2 has used the edge  $(q, q_r)$  after the last visit to  $q$ , then player 1 plays like the strategy  $\sigma_r$  applied to the play prefix  $\hat{\rho}$  obtained from  $\rho$  by taking out the cycles over  $q$  that use the edge  $(q, q_l)$ . The definition is analogous if player 2 has used the edge  $(q, q_l)$  after the last visit to  $q$ .

Since  $\sigma_l$  and  $\sigma_r$  are winning strategies (in  $G_l$  and  $G_r$  respectively), on all visits to  $q$ , the energy level is at least  $c_l(q)$ : this holds on the first visit to  $q$ , and on the subsequent visits the energy level gets higher since all cycles produced by winning strategies are nonnegative.

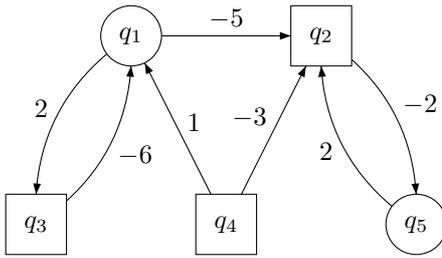
**Theorem 3A.** The finite initial credit problem and the unknown initial credit problem are in  $\text{NP} \cap \text{coNP}$ .

To see this, it suffices to guess a memoryless strategy for player 1 (resp., player 2), and to check in polynomial time that all cycles it produces are nonnegative (resp., negative).

**3.4. Algorithm.** The minimum initial credit function can be computed by iterating the operator  $f' = \delta(f)$  on functions  $f : Q \rightarrow \mathbb{N}$ , starting with  $f_0(q) = 0$  for all  $q \in Q$ , until a fixpoint is reached:

$$\delta(f)(q) = \begin{cases} \max(f(q), \min\{f(q') - w(q, q') \mid (q, q') \in E\}) & \text{if } q \in Q_1 \\ \max(f(q), \max\{f(q') - w(q, q') \mid (q, q') \in E\}) & \text{if } q \in Q_2 \end{cases}$$

The iterations for Example 3.2 are given in the following table.



$q_1$	$q_2$	$q_3$	$q_4$	$q_5$
0	0	0	0	0
0	<b>2</b>	<b>6</b>	<b>3</b>	0
<b>4</b>	2	6	<b>5</b>	0
4	2	<b>10</b>	5	0
<b>7</b>	2	6	5	0
7	2	<b>13</b>	<b>6</b>	0
7	2	13	6	0 ← fixpoint

To ensure termination of this algorithm, we use the remark that winning strategies induce only nonnegative cycles, and thus it follows that the minimum initial credit in a (winning) state is at most  $|Q| \cdot W$  where  $W$  is the largest weight (in absolute value) of the energy game. In the algorithm, values greater than  $|Q| \cdot W$  are replaced by  $\omega$  (such that  $\omega + n = \omega$  and  $\omega > n$  for all  $n \in \mathbb{N}$ ). They correspond to losing states.

**3.5. Correctness.** The correctness of Algorithm 3.4 is established as follows:

1. If player 1 has a strategy to maintain the energy level nonnegative for  $k$  steps when the initial credit is given by the function  $f$ , then player 1 has a strategy to maintain the energy level nonnegative for  $k + 1$  steps when the initial credit is given by the function  $\delta(f)$ .
2. It follows that the function  $f_k = \delta^k(f)$  obtained after  $k$  iterations gives the minimum initial credit necessary to maintain the energy level nonnegative during  $k$  steps.
3. Therefore, the (least) fixpoint function  $f$  such that  $f = \delta(f)$  is the minimum initial credit to win the energy game.

**3.6. Complexity.** Each iteration of Algorithm 3.4 can be computed in time  $O(|E|)$ . The value of the credit function increases by 1 in at least one state (otherwise the fixpoint is reached) and it is bounded by  $|Q| \cdot W$ . Therefore, a naive implementation of Algorithm 3.4 has time complexity  $O(|E| \cdot |Q|^2 \cdot W)$ .

Consider the improved algorithm in pseudo-code given below. For  $q \in Q$ , let  $\text{pre}(q) = \{q' \mid (q', q) \in E\}$  and  $\text{post}(q) = \{q' \mid (q, q') \in E\}$ . Efficient implementation of Algorithm 3.4 uses a list of states for which the credit value needs to be updated. When the value of a state  $q$  is updated, only the predecessors  $q' \in \text{pre}(q)$  such that  $f(q') < f(q) - w(q', q)$  may need to be updated and included in the list. In fact all such player-2 states  $q'$  need to be updated; but if  $q'$  is a player-1 state, then  $q'$  may still not need to be updated, e.g., if some other successor  $r$  of  $q'$  satisfies  $f(q') + w(q', r) = f(r)$ . We use a function  $\text{count} : Q \rightarrow \mathbb{N}$  to remember the number of such successors, and we include  $q'$  in the list if the counter value  $\text{count}(q')$  drops to 0.

Since each state  $q$  is updated at most  $|Q| \cdot W$  times, and each update of a state requires to scan its successors and predecessors, we get the following time complexity:

$$O\left(\sum_{q \in Q} (|\text{post}(q)| + |\text{pre}(q)|) \cdot |Q| \cdot W\right) = O(|E| \cdot |Q| \cdot W)$$

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**Algorithm 1:** Value-iteration algorithm for energy games.

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**Input** : A game graph  $\langle Q, E \rangle$  with partition  $Q_1 \cup Q_2 = Q$  and a weight function  $w : E \rightarrow \mathbb{Z}$ .

**Output:** The minimum initial credit function  $f : Q \rightarrow \mathbb{N} \cup \{\omega\}$ .

**begin**

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1  |  $L \leftarrow \{q \in Q_1 \mid \forall (q, q') \in E : w(q, q') < 0\}$  ;
2  |  $L \leftarrow L \cup \{q \in Q_2 \mid \exists (q, q') \in E : w(q, q') < 0\}$  ;
3  | foreach  $q \in Q$  do
4  |   |  $f(q) \leftarrow 0$  ;
5  |   | if  $q \in Q_1 \cap L$  then  $\text{count}(q) \leftarrow 0$  ;
6  |   | if  $q \in Q_1 \setminus L$  then  $\text{count}(q) \leftarrow |\{q' \in \text{post}(q) \mid f(q) = f(q') - w(q, q')\}|$  ;
7  | while  $L \neq \emptyset$  do
8  |   | Pick  $q \in L$  ;
9  |   |  $L \leftarrow L \setminus \{q\}$ ;  $\text{old}q \leftarrow f(q)$  ;
10 |   |  $f(q) \leftarrow \delta(f)(q)$  ;
11 |   | if  $f(q) \geq |Q| \cdot W$  then  $f(q) \leftarrow \omega$ ;
12 |   | if  $q \in Q_1$  then  $\text{count}(q) \leftarrow |\{q' \in \text{post}(q) \mid f(q) = f(q') - w(q, q')\}|$  ;
13 |   | foreach  $q' \in \text{pre}(q)$  such that  $f(q') < f(q) - w(q', q)$  do
14 |     | if  $q' \in Q_1$  then
15 |       |   | if  $f(q') \geq \text{old}q - w(q', q)$  then  $\text{count}(q') \leftarrow \text{count}(q') - 1$  ;
16 |       |   | if  $\text{count}(q') \leq 0$  then  $L \leftarrow L \cup \{q'\}$  ;
17 |     | if  $q' \in Q_2$  then  $L \leftarrow L \cup \{q'\}$  ;
18 | return  $f$  ;
   |
end

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